



SPONSORED RESEARCH CONTRACTING AND COMPLIANCE OVERVIEW

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Director



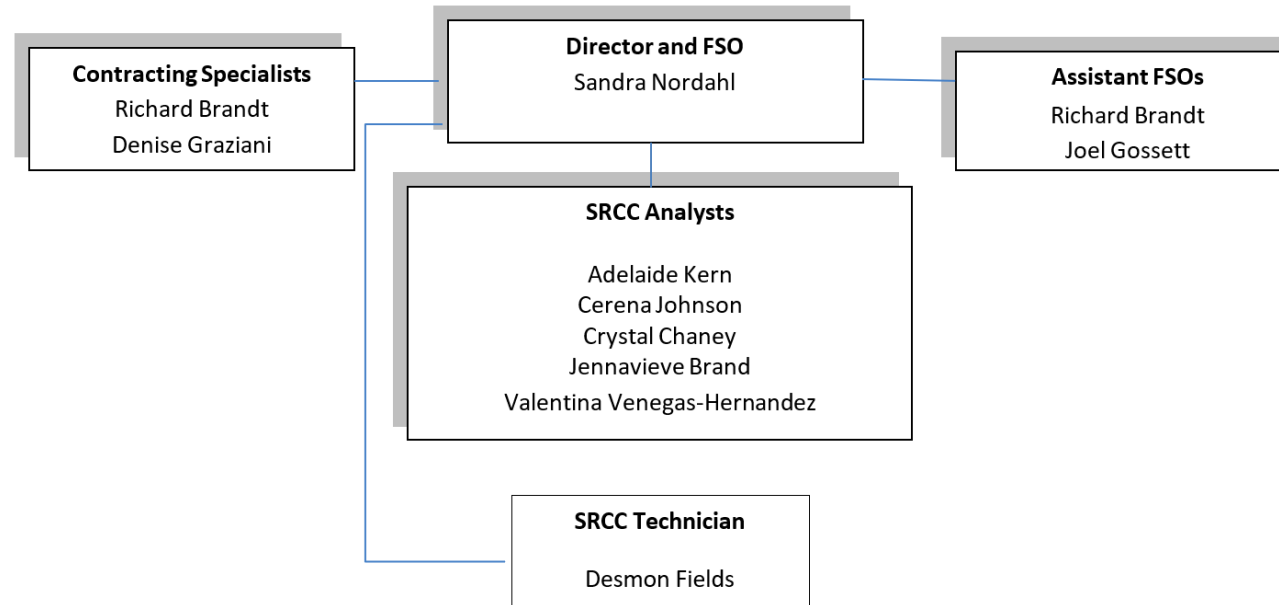
PURPOSE

- Expedite the review and awarding of projects for faculty and staff
- Review all incoming awards for all compliance related issues and coordinate with SDSU
- Negotiate with sponsors regarding contractual language
- Expedite the establishment of subrecipient agreement
- Prepare and manage through execution all subrecipient documentation
- Negotiate terms and conditions

DEPARTMENT STRUCTURE IN BRIEF

- **Award Negotiation and Acceptance –Contract Specialists and Director**
- **Grant Reviews –Analysts**
- **Compliance Reviews for all awards –Analysts and Contract Specialists**
- **Subrecipient Preparation and Execution –Analysts, Contracts Specialists, and Director**
- **Compliance Reporting – All SRCC Staff in conjunction with SDSU Compliance Teams**
- **Export Controls –Director and Contract Specialists in conjunction with SDSU Director of Export Controls**
- **Controlled Unclassified Information – Director and Contract Specialists in conjunction with SDSU Information Technology Security Office (ITSO)**





DEPARTMENT STRUCTURE AND STAFFING





PROCESSING INCOMING AWARDS...


- Before awards can be “passed” to SR Administration compliance must be met
 - SRCC communicates with various SDSU compliance related committees and departments
 - Research Support Services
 - Conflict of Interest – financial disclosures
 - Animal Care and Use Committee (IACUC)
 - Human Subjects Committee (IRB)
 - Environmental Health and Safety
 - Biohazardous Materials
 - Carcinogens
 - DEA Materials
 - Hazardous Chemicals
 - Radioactive Materials



PROCESSING INCOMING AWARDS CONTINUED... COMPLETED AT ALL STAGES PRIOR AUTHORIZATION, PARTIALLY EXECUTED AWARDS, FULLY EXECUTED AWARDS

- ✓ **Compliance Reviews – approved**
- ✓ **Internal documentation – completed**
- ✓ **Notifications as applicable to internal, SDSU and sponsors – completed**
- ✓ **Banner entries – completed**
- ✓ **InfoEd entries – completed**
- ✓ **Scanned into Etrieve – completed**
- ✓ **File folder into SharePoint archives – completed**





PROCESSING INCOMING AWARDS CONTINUED... ADDITIONAL DOCUMENTATION AND NOTIFICATIONS

- ✓ F&A Reduction form
 - ✓ Assistance Listing Information request
- Approximately 31 steps are needed to “pass” a basic award



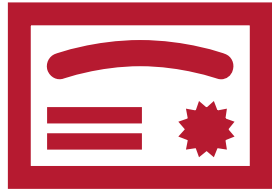


WHO ARE SDSURF'S SUBRECIPIENT PARTNERS?

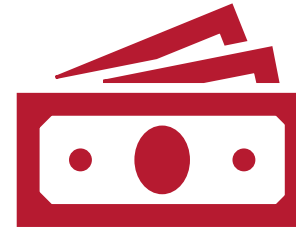
- Federally Funded Research and Development Centers
- For Profit Organizations
- Institutions of Higher Education
- Hospitals and Medical Institutions
- International Organizations
- Local Governments
- Nonprofit Organizations
- School Districts



WHAT TYPES OF AGREEMENTS ARE THERE?



Original subagreement – typically the first year of an award



Amendments – typical revisions to an existing subagreement

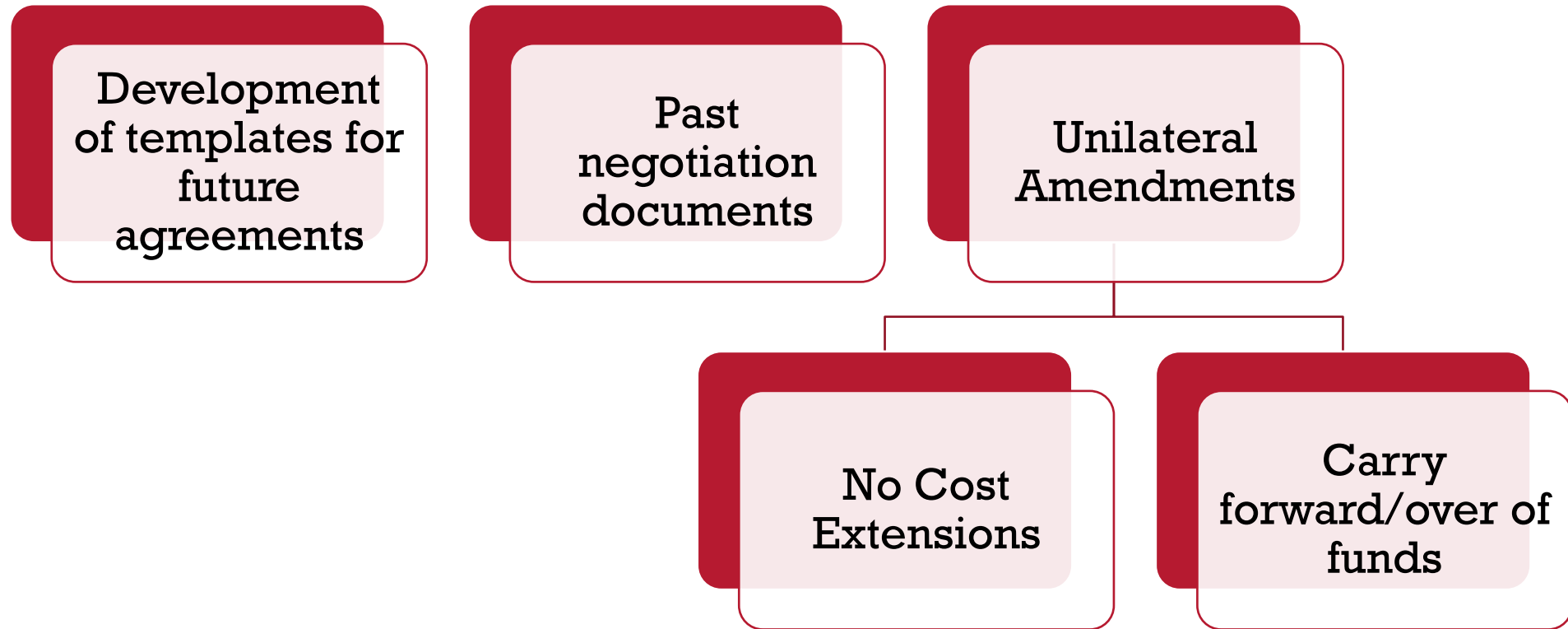
Increasing/decreasing funds

Adjusting the period of performance

Revising the scope of work, budget, change of PI

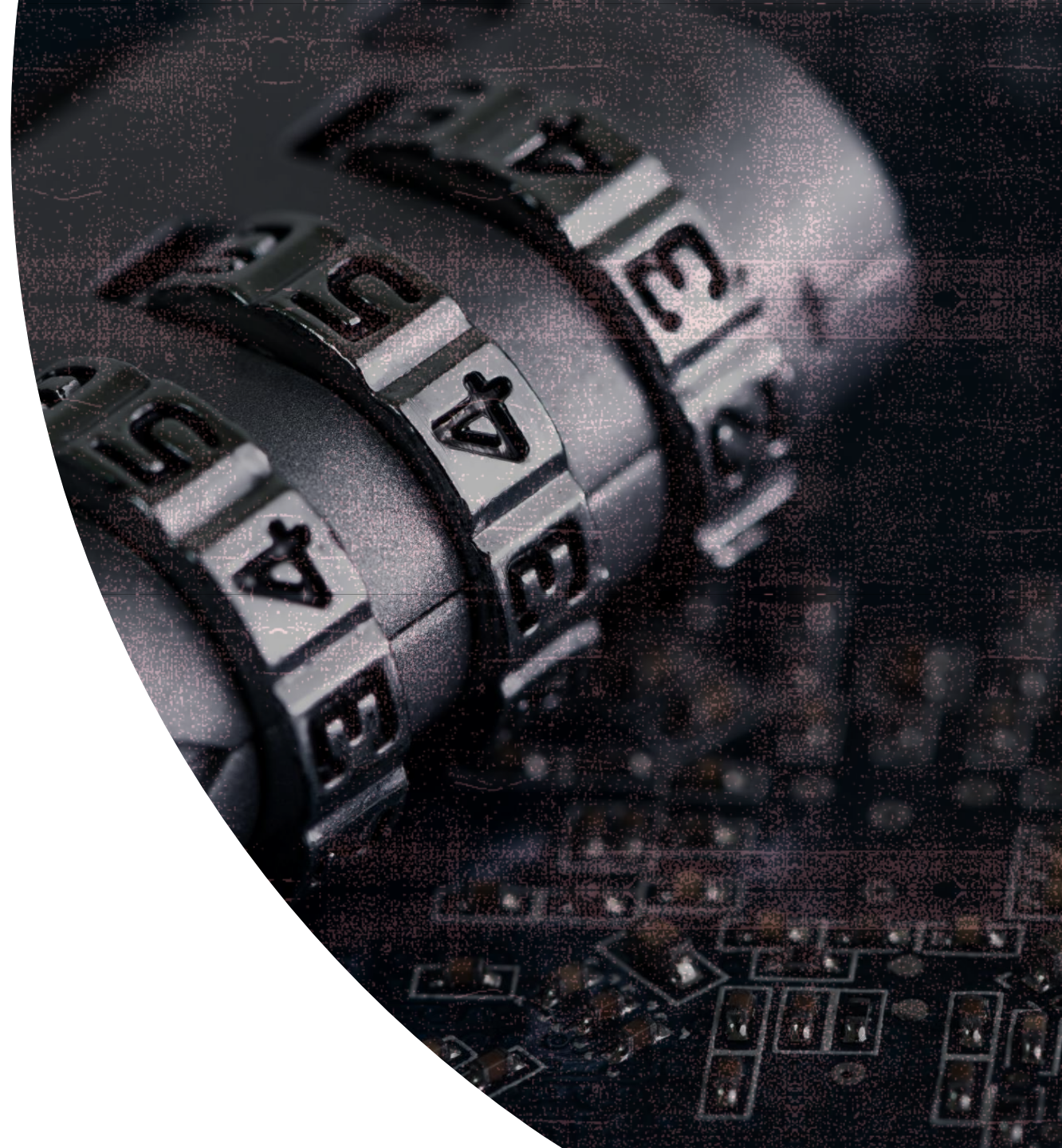


WHAT CAN BE STREAMLINED FOR AWARDS AND SUBAGREEMENTS?



COMMON NEGOTIATION POINTS FOR AWARDS AND SUBAGREEMENTS

- Hold Harmless/Indemnification
- Notices
- Audit
- Confidentiality
- Restrictions on publishing
- Unilateral Termination





WHAT CAN CAUSE A DELAY?

- **Compliance**
 - Financial Disclosure documentation must be within 90 days of the action
 - Other compliance issues not approved or submitted
- **Award still pending**
 - Subagreements/amendments cannot be fully executed until an award is received.



QUESTIONS?

- Please contact the following individuals:
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